



CITY OF GLASGOW
FINANCIAL INTERESTS STATEMENT

In accordance with KRS 65.003 and the City of Glasgow Code of Ethics Ordinance No. 2021-2983, an ordinance establishing a code of ethical conduct applicable to the officers and employees of the city and city agencies, this Statement of Financial Interests must be filed with the City Clerk no later than 4:30 p.m. on April 15th of each year. Financial disclosure is required by the Code of Ethics Ordinance for the following individuals:

The following classes of officers and employees of the City and City agencies shall file an annual statement of financial interests with the City of Glasgow Ethics Board:

- 1. Elected City officers.
2. Candidates for elected office.
3. Officers and employees who hold policy making positions, including members of Municipal boards, such as Ethics Boards, Planning and Zoning Boards, Boards of Adjustment, Code Enforcement Boards, Economic Development Boards, and Parks and Recreation Boards.
4. Officers or employees whose job descriptions or whose actual duties involve:
a. The negotiation, authorization, or approval of contracts, leases, franchises, revocable consents, concessions, variances, special permits, or licenses.
b. The purchase, sale, rental, or lease of real property, personal property, or services, or a contract for any of these; and
c. The obtaining of grants of money or loans.

A newly appointed official or an employee that has a material change in any information contained in this statement shall file an amended statement with the City Clerk within thirty (30) days after being appointed or becoming aware of the material change.

Note: Nothing in this section shall be construed to require any officer or employee to disclose any specific dollar amounts nor the names of individual clients or customers of businesses listed as sources of income. If more space is needed to complete any response on this form, please attach additional pages. Complete all questions. If an answer to a question is not applicable, please mark "N/A" through body of lines.

- 1. The name, home address, current business address, and business telephone number of the filer.

Name: _____

a. Home Address: _____ Phone: _____

b. Business Address: _____ Phone: _____

2. The title of the filer's office, office sought, or position of employment.

Title/Position: _____

3. The occupation of the filer and the filer's spouse or domestic partner.

Occupation: _____

Spouse or Domestic Partner Occupation: _____

4. Information that identifies each source of income of the filer and the filer's immediate family members exceeding five thousand dollars (\$5,000) during the preceding calendar year, and the nature of the income (e.g., salary, commission, dividends, retirement fund distribution, etc.).

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

5. The name and address of any business located within the state in which the filer or any member of the filer's immediate family had at any time during the preceding calendar year an interest of ten thousand dollars (\$10,000) at fair market value or five percent (5%) ownership interest or more.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

6. The name and address of any business located outside of the state, if the business has engaged in any business transactions with the City during the past three (3) years, or which is anticipated to engage in any business transactions with the City, in which the filer or any member of the filer's immediate family had at any time

during the preceding calendar year an interest of ten thousand dollars (\$10,000) at fair market value or five percent (5%) ownership interest or more.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

7. A designation as commercial, residential, or rural, and the location of all real property within the county, other than the filer's primary residence, in which the filer or any member of the filer's immediate family had during the preceding calendar year an interest of ten thousand dollars (\$10,000) or more.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

8. Each source by name and address of gifts or honoraria having an aggregate fair market value of two hundred dollars (\$200) or more from any single source, excluding gifts received from family members, received by the filer or any member of the filer's immediate family during the preceding calendar year.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

9. Each source by name and address of campaign contributions having an aggregate fair market value of two hundred dollars (\$200) or more from any single source, excluding contributions received from family members, during the preceding calendar year.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

10. The name and address of any substantial debtor or creditor owed more than ten thousand dollars (\$10,000), except debts arising from the purchase of a primary residence or the purchase of consumer goods which are bought or used primarily for person, family, or household purposes.

a. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

b. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

c. _____
If an answer to a question is not applicable, please mark "N/A" through body of lines.

(SECTION NO. 30

NONCOMPLIANCE WITH FILING REQUIREMENT

- (A) The City of Glasgow Ethics Board, or the designated administrative official, shall notify by certified mail each person required to file a statement of financial interests who fails to file the statement by the due date, files an incomplete statement, or files a statement in a form other than that prescribed by the Board. The notice shall specify the type of failure or delinquency, shall establish a date by which the failure or delinquency shall be remedied, and shall advise the person of the penalties for a violation.
- (B) Any person who fails or refuses to file the statement or who fails or refuses to remedy a deficiency in the filing identified in the notice under subsection (A) within the time established in the notice shall be guilty of a civil offense and shall be subject to a civil fine imposed by the Board in an amount not to exceed twenty-five dollars (\$25) per day, up to a maximum civil fine of five hundred dollars (\$500). Any civil fine imposed by the Board under this section may be recovered by the City in a civil action in debt if the offender fails or refuses to pay the penalty within a prescribed period of time.
- (C) Any person who intentionally files a statement of financial interests which he or she knows to contain false information or intentionally omits required information shall be guilty of a Class A misdemeanor.)

I, _____, state that I have read the City of Glasgow Code of Ethics Ordinance and that I understand its terms and I agree to abide by those terms. I further state that the information contained in this **Financial Interests Statement** is true and correct as I verily believe. Furthermore, I agree to file an amended statement with the City Clerk within thirty (30) days once I become aware of any material changes.

Signed: _____

Date: _____